

- Sector #

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Stephen Heisig and Donna Dillenberger
Serial No.: 09/748,831
For: A PROTOCOL ADAPTER FRAMEWORK FOR INTEGRATING NON-IOP APPLICATIONS INTO AN OBJECT SERVER CONTAINER
Filed: December 27, 2000
Examiner: Not yet assigned
Art Unit: 2151

Attorney Docket: YOR920000705US1

**Box MISSING PARTS
ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231**

Sir:

**TRANSMITTAL OF MISSING PARTS OF APPLICATION AND
PAYMENT OF SURCHARGE UNDER 37 C.F.R. §1.16(e)**

Transmitted herewith are the following documents pertaining to the above-identified application:

- XX Declaration and Power of Attorney form executed by Stephen Heisig and Donna Dillenberger;
- XX Associate Power of Attorney;
- ___ Declaration Claiming Small Entity Status (Small Business Concern);
- ___ _____ Sheets of Formal Drawings;
- XX An Assignment of the Invention to : **Assignee Name: International Business Machines Corporation, Assignee Residence: Armonk, New York**, including \$40.00 recordation fee and Assignment Recordation Cover Sheet;
- ___ A Certified Copy of the Priority Application;
- XX Information Disclosure Statement (Form - 1449); and
- XX A copy of the Notice to File Missing Parts of Application Filing Date Granted.



Petition and Fee for Extension of Time (37 CFR 1.136(A)).

The Filing Fee is calculated below.

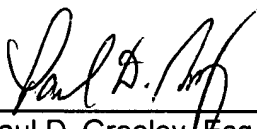
CLAIMS AS FILED				
(1) For	(2) Number Filed	(3) Number Extra	(4) Rate	(5) Basic Fee \$710.00
Total Claims	9 - 20 =	0	x \$18.00	\$0
Independent Claims	2 - 3 =	0	x \$78.00	\$0
Multiple Dependent Claim Fee		x \$260.00 = \$0.00		
TOTAL FILING FEE		\$710.00		

1/2 FILING FEE FOR SMALL ENTITY	\$
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XX A check in the amount of **\$ 880.00** to cover the (\$710.00) filing fee, the (\$130.00) surcharge, the (\$40.00) recordation of assignment fee is enclosed;

XX The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§1.16 and 1.17 which may be required with this communication or during the entire pendency of the application, or credit any overpayment, to **Deposit Account No. 01-0467**. A duplicate copy of this Form is enclosed.

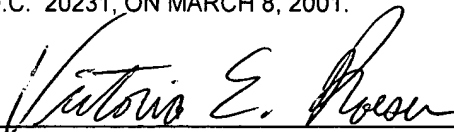
March 8, 2001
Date


Paul D. Greeley, Esq.
Ohlandt, Greeley, Ruggiero & Perle, L.L.P.
Attorney for Applicant(s)
Registration No. 31,019
Telephone: (203) 327-4500
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CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: BOX MISSING PARTS, ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231, ON MARCH 8, 2001.

Victoria E. Roeser
NAME.


SIGNATURE

03/08/01
DATE



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/748,831	12/27/2000	Stephen Heisig	YOR920000705US1

CONFIRMATION NO. 6296

FORMALITIES LETTER



OC000000005774373

Paul D. Greeley, Esq.
Ohlandt, Greeley, Ruggiero & Perle, L.L.P.
One Landmark Square, 10th Floor
Stamford, CT 06901-2682

Date Mailed: 02/16/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/14/2001 SSESHE1 00000044 09748831
01 FC:101
02 FC:105
710.00 OP
130.00 OP